

Global Relay Archive

FOR FACTSET

ARCHIVING & COMPLIANCE FOR FACTSET INSTANT MESSENGER

Archiving for Compliance, Access & More

Enable the compliant use of FactSet IM – Financial industry regulations such as SEC Rule 17a-4 and FINRA Rules 4511 and 3110 require all electronic business communications to be archived and monitored — including messaging platforms such as FactSet IM. Global Relay Archive securely captures and preserves all FactSet IM messages in the cloud — allowing your firm to comply with these requirements.

Message Supervision & Review – The powerful, flexible supervision and monitoring tools built into Global Relay Archive let compliance officers and managers perform detailed reviews of all electronic communications, including FactSet IM messages. This allows firms to meet regulatory requirements for message monitoring and review and facilitates employee supervision and HR policy enforcement. Since all users' messages are automatically captured and archived, a perfect record is created of "who said what, when".

Benefit from a Unified, Accessible Archive – With Global Relay, your firm can integrate FactSet IM alongside other message types — letting you search across any/all messages, including IM, email, BlackBerry and Android text messaging and call logs, Bloomberg®, Thomson Reuters, social media (LinkedIn, Twitter, Facebook) and more. In addition, Global Relay optionally provides users with convenient tools to search and retrieve their own archived messages anywhere, anytime — via web browser, Microsoft Outlook, BlackBerry, iPhone, iPad or Android.



FactSet Instant Messenger (IM) is a messaging platform integrated within the FactSet Workstation, allowing users to access research, share ideas and connect with partners.

External Delivery – Global Relay is also able to provide external delivery of FactSet IM messages via:

- (a) SFTP upload for secure transfer to customers' in-house archiving systems
- (b) SMTP for secure delivery to customers' in-house archiving systems secured by TLS/SSL

How It Works

Global Relay Archive for FactSet IM supports one-to-one instant messages, and captures message content, participants, and conversation duration.

1. Each day, Global Relay automatically and securely downloads a copy of all your firm's new FactSet IM messages via SFTP.
2. Global Relay Archive indexes and archives each message for search and retrieval purposes.
3. The messages are time-date stamped, serialized and written to tamperproof storage to meet compliance requirements.
4. FactSet IM messages are automatically routed to a FactSet IM system folder in Global Relay Archive for easy online access and identification.
5. FactSet IM messages can be reviewed within Global Relay Archive alongside all other supported message types (email, instant messaging, Bloomberg®, etc.)
6. Global Relay Archive supports a variety of role-based access privilege levels to provide tools for various stakeholders within the organization, such as Compliance, Legal, Managers, and Standard Users.

Features

Compliance & eDiscovery

- Meets compliance, audit and litigation rules (SEC, FINRA, SOX, IIROC, FCA, privacy laws, etc.)
- Archived messages are hosted on tamperproof storage with write-verification to ensure message authenticity
- Powerful online search, monitoring and reporting tools to enable compliance review, surveillance, monitoring, HR management and internal policy enforcement
- Comprehensive legal case management, audit & eDiscovery capabilities
- Full audit trails on each message
- Advanced dual encryption to ensure privacy, confidentiality and non-disclosure

Message Management

- Search across all message types in your firm's archive — search results can show any/all archived message types
- Full suite of message search apps available: iPhone, iPad, BlackBerry, Android, Microsoft Outlook
- Hosted archive provides secure, centralized offsite storage in geographically isolated SOC-audited data centers
- Third-party validation by KPMG on Global Relay Business, Operational & Security Controls

About Global Relay

Global Relay is the leading provider of cloud-based electronic message archiving, supervision, and eDiscovery solutions for the global financial sector and other highly regulated industries. Global Relay delivers services to 20,000 customers in 90 countries, including 22 of the top 25 banks. Global Relay Archive supports, email, IM, Bloomberg, Thomson Reuters, social media, mobile messaging, and more - with mobile, Outlook and web access.

For more information about how Global Relay Archive can provide your firm with secure, compliant archiving solutions

- visit globalrelay.com
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